SMALL GENERATOR INTERCONNECTION REQUEST
(Application Form)

Transmission Provider: _________________________________________________

Designated Contact Person: _____________________________________________

Address: ____________________________________________________________________

Telephone Number: ________________________________________________________

Fax: _______________________________________________________________________

E-Mail Address: _____________________________________________________________

An Interconnection Request is considered complete when it provides all applicable and correct information required below. Per SGIP section 1.5, documentation of site control must be submitted with the Interconnection Request.

Preamble and Instructions

An Interconnection Customer who requests a Federal Energy Regulatory Commission jurisdictional interconnection must submit this Interconnection Request by hand delivery, mail, e-mail, or fax to the Transmission Provider.

Processing Fee or Deposit:

If the Interconnection Request is submitted under the Fast Track Process, the non-refundable processing fee is $500.

If the Interconnection Request is submitted under the Study Process, whether a new submission or an Interconnection Request that did not pass the Fast Track Process, the Interconnection Customer shall submit to the Transmission Provider a deposit not to exceed $1,000 towards the cost of the feasibility study.

Interconnection Customer Information

Legal Name of the Interconnection Customer (or, if an individual, individual's name)

Name: ____________________________________________________________________

Contact Person: _____________________________________________________________

Mailing Address: ____________________________________________________________

City: _____________________ State: _____________________ Zip: ___________________
Facility Location (if different from above): _______________________________________

Telephone (Day): ____________________ Telephone (Evening): _____________________

Fax: ____________________ E-Mail Address: ______________________________________

Alternative Contact Information (if different from the Interconnection Customer)

Contact Name: _______________________________________________________________

Title: _______________________________________________________________________

Address: _____________________________________________________________________

______________________________________________________________________________

Telephone (Day): ____________________ Telephone (Evening): _____________________

Fax: ____________________ E-Mail Address: ______________________________________

Application is for: ___ New Small Generating Facility

___ Capacity addition to Existing Small Generating Facility

If capacity addition to existing facility, please describe:______________________________

______________________________________________________________________________

Will the Small Generating Facility be used for any of the following?

Net Metering? Yes ___ No ___

To Supply Power to the Interconnection Customer? Yes ___ No ___

To Supply Power to Others? Yes ____ No ____
Effective Date:

For installations at locations with existing electric service to which the proposed Small Generating Facility will interconnect, provide:

__________________  __________________________
(Local Electric Service Provider*)  (Existing Account Number*)

[*To be provided by the Interconnection Customer if the local electric service provider is different from the Transmission Provider]

Contact Name: __________________________
Title: __________________________
Address: __________________________
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Telephone (Day): ___________________  Telephone (Evening): ___________________
Fax: __________________________  E-Mail Address: __________________________

Requested Point of Interconnection: __________________________

Interconnection Customer’s Requested In-Service Date: __________________________

**Small Generating Facility Information**

Data apply only to the Small Generating Facility, not the Interconnection Facilities.

Energy Source: 
- Solar
- Wind
- Hydro
- Hydro Type (e.g. Run-of-River):
- Diesel
- Natural Gas
- Fuel Oil
- Other (state type) ______

Prime Mover: 
- Fuel Cell
- Recip Engine
- Gas Turb
- Steam Turb
- Microturbine
- Microturbine
- PV
- Other

Type of Generator: 
- Synchronous
- Induction
- Inverter

Generator Nameplate Rating: _____kW (Typical)  Generator Nameplate kVAR: _______

Interconnection Customer or Customer-Site Load: ______________kW (if none, so state)

Typical Reactive Load (if known): ______________

Maximum Physical Export Capability Requested: ______________ kW

List components of the Small Generating Facility equipment package that are currently certified:
Is the prime mover compatible with the certified protective relay package?  ___Yes  ___No

Generator (or solar collector)
Manufacturer, Model Name & Number: ____________________________________________
Version Number: ___________________________________________________________

Nameplate Output Power Rating in kW:  (Summer) ________ (Winter) ________
Nameplate Output Power Rating in kVA: (Summer) ________ (Winter) ________

Individual Generator Power Factor
Rated Power Factor: Leading: _____________  Lagging: _______________

Total Number of Generators in wind farm to be interconnected pursuant to this Interconnection Request: _________  Elevation: _______    ___Single phase  ___Three phase

Inverter Manufacturer, Model Name & Number (if used): ______________________________

List of adjustable set points for the protective equipment or software: _____________________

Note: A completed Power Systems Load Flow data sheet must be supplied with the Interconnection Request.

Small Generating Facility Characteristic Data (for inverter-based machines)
Max design fault contribution current: _______________  Instantaneous or RMS ____?
Harmonics Characteristics: ______________________________
Start-up requirements: ________________________________
Small Generating Facility Characteristic Data (for rotating machines)

RPM Frequency: _____________
(*) Neutral Grounding Resistor (If Applicable): ____________

Synchronous Generators:

Direct Axis Synchronous Reactance, X_d: ________ P.U.
Direct Axis Transient Reactance, X'_d: ________ P.U.
Direct Axis Subtransient Reactance, X''_d: ______________P.U.
Negative Sequence Reactance, X_2: _________ P.U.
Zero Sequence Reactance, X_0: ____________ P.U.
KVA Base: __________________________
Field Volts: ____________
Field Amperes: ____________

Induction Generators:

Motoring Power (kW): ______________
I_2t or K (Heating Time Constant): ______________
Rotor Resistance, Rr: ______________
Stator Resistance, Rs: ______________
Stator Reactance, Xs: ______________
Rotor Reactance, Xr: ______________
Magnetizing Reactance, Xm: ______________
Short Circuit Reactance, Xd'': ______________
Exciting Current: ______________
Temperature Rise: ______________
Frame Size: ______________
Design Letter: ______________
Reactive Power Required In Vars (No Load): ______________
Reactive Power Required In Vars (Full Load): ______________
Total Rotating Inertia, H: _____________  Per Unit on kVA Base

Note: Please contact the Transmission Provider prior to submitting the Interconnection Request to determine if the specified information above is required.

Excitation and Governor System Data for Synchronous Generators Only

Provide appropriate IEEE model block diagram of excitation system, governor system and power system stabilizer (PSS) in accordance with the regional reliability council criteria. A PSS may be determined to be required by applicable studies. A copy of the manufacturer's block diagram may not be substituted.
Primary frequency response operating range for electric storage resources:

Minimum State of Charge: __________
Maximum State of Charge: __________

Interconnection Facilities Information

Will a transformer be used between the generator and the point of common coupling? ____Yes  ____No

Will the transformer be provided by the Interconnection Customer? ____Yes  ____No

Transformer Data (If Applicable, for Interconnection Customer-Owned Transformer):

Is the transformer: _____single phase  _____three phase?  Size: ___________kVA
Transformer Impedance: _____% on __________kVA Base

If Three Phase:
Transformer Primary: ______ Volts _____ Delta _____ Wye _____ Wye Grounded
Transformer Secondary: ______ Volts _____ Delta _____ Wye _____ Wye Grounded
Transformer Tertiary: ______ Volts _____ Delta _____ Wye _____ Wye Grounded

Transformer Fuse Data (If Applicable, for Interconnection Customer-Owned Fuse):

(Attach copy of fuse manufacturer's Minimum Melt and Total Clearing Time-Current Curves)
Manufacturer:______________ Type:_______________ Size:_________ Speed:___________

Interconnecting Circuit Breaker (if applicable):
Manufacturer: ____________________________ Type: __________
Load Rating (Amps):_______ Interrupting Rating (Amps):_______ Trip Speed (Cycles):_______

Interconnection Protective Relays (If Applicable):
If Microprocessor-Controlled:

List of Functions and Adjustable Setpoints for the protective equipment or software:

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<th>Setpoint Function</th>
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If Discrete Components:

(Enclose Copy of any Proposed Time-Overcurrent Coordination Curves)

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<th>Type:</th>
<th>Style/Catalog No.:</th>
<th>Proposed Setting:</th>
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Current Transformer Data (If Applicable):
(Enclose Copy of Manufacturer's Excitation and Ratio Correction Curves)

Manufacturer: _______________________________________________________________
Type: ______________   Accuracy Class: ________   Proposed Ratio Connection: ________

Manufacturer: _______________________________________________________________
Type: ______________   Accuracy Class: ________   Proposed Ratio Connection: ________

Potential Transformer Data (If Applicable):

Manufacturer: _______________________________________________________________
Type: _______________   Accuracy Class: ________   Proposed Ratio Connection: ________

Manufacturer: _______________________________________________________________
Type: _______________   Accuracy Class: ________   Proposed Ratio Connection: ________

**General Information**

Enclose copy of site electrical one-line diagram showing the configuration of all Small Generating Facility equipment, current and potential circuits, and protection and control schemes. This one-line diagram must be signed and stamped by a licensed Professional Engineer if the Small Generating Facility is larger than 50 kW. Is One-Line Diagram Enclosed? ____Yes ____No

Enclose copy of any site documentation that indicates the precise physical location of the proposed Small Generating Facility (e.g., USGS topographic map or other diagram or documentation).

Proposed location of protective interface equipment on property (include address if different from the Interconnection Customer's address) ____________________________________

Enclose copy of any site documentation that describes and details the operation of the protection and control schemes. Is Available Documentation Enclosed? ____Yes ____No

Enclose copies of schematic drawings for all protection and control circuits, relay current circuits, relay potential circuits, and alarm/monitoring circuits (if applicable). Are Schematic Drawings Enclosed? ____Yes ____No
Applicant Signature

I hereby certify that, to the best of my knowledge, all the information provided in this Interconnection Request is true and correct.

For Interconnection Customer:______________________________  Date:___________
Certification Codes and Standards

IEEE 1547 Standard for Interconnecting Distributed Resources with Electric Power Systems (including use of IEEE 1547.1 testing protocols to establish conformity)

UL 1741 Inverters, Converters, and Controllers for Use in Independent Power Systems

IEEE Std 929-2000 IEEE Recommended Practice for Utility Interface of Photovoltaic (PV) Systems

NFPA 70 (2002), National Electrical Code


IEEE Std C62.41.2-2002, IEEE Recommended Practice on Characterization of Surges in Low Voltage (1000V and Less) AC Power Circuits


ANSI C84.1-1995 Electric Power Systems and Equipment – Voltage Ratings (60 Hertz)

IEEE Std 100-2000, IEEE Standard Dictionary of Electrical and Electronic Terms

NEMA MG 1-1998, Motors and Small Resources, Revision 3

IEEE Std 519-1992, IEEE Recommended Practices and Requirements for Harmonic Control in Electrical Power Systems

NEMA MG 1-2003 (Rev 2004), Motors and Generators, Revision 1
Attachment 4

Certification of Small Generator Equipment Packages

1.0 Small Generating Facility equipment proposed for use separately or packaged with other equipment in an interconnection system shall be considered certified for interconnected operation if (1) it has been tested in accordance with industry standards for continuous utility interactive operation in compliance with the appropriate codes and standards referenced below by any Nationally Recognized Testing Laboratory (NRTL) recognized by the United States Occupational Safety and Health Administration to test and certify interconnection equipment pursuant to the relevant codes and standards listed in SGIP Attachment 3, (2) it has been labeled and is publicly listed by such NRTL at the time of the interconnection application, and (3) such NRTL makes readily available for verification all test standards and procedures it utilized in performing such equipment certification, and, with consumer approval, the test data itself. The NRTL may make such information available on its website and by encouraging such information to be included in the manufacturer's literature accompanying the equipment.

2.0 The Interconnection Customer must verify that the intended use of the equipment falls within the use or uses for which the equipment was tested, labeled, and listed by the NRTL.

3.0 Certified equipment shall not require further type-test review, testing, or additional equipment to meet the requirements of this interconnection procedure; however, nothing herein shall preclude the need for an on-site commissioning test by the parties to the interconnection nor follow-up production testing by the NRTL.

4.0 If the certified equipment package includes only interface components (switchgear, inverters, or other interface devices), then an Interconnection Customer must show that the generator or other electric source being utilized with the equipment package is compatible with the equipment package and is consistent with the testing and listing specified for this type of interconnection equipment.

5.0 Provided the generator or electric source, when combined with the equipment package, is within the range of capabilities for which it was tested by the NRTL, and does not violate the interface components' labeling and listing performed by the NRTL, no further design review, testing or additional equipment on the customer side of the point of common coupling shall be required to meet the requirements of this interconnection procedure.

6.0 An equipment package does not include equipment provided by the utility.

7.0 Any equipment package approved and listed in a state by that state’s regulatory body for interconnected operation in that state prior to the effective date of these small generator interconnection procedures shall be considered certified under these procedures for use in that state.
Attachment 5

Application, Procedures, and Terms and Conditions for
Interconnecting a Certified Inverter-Based Small Generating Facility
No Larger than 10 kW ("10 kW Inverter Process")

1.0 The Interconnection Customer ("Customer") completes the Interconnection Request ("Application") and submits it to the Transmission Provider ("Company").

2.0 The Company acknowledges to the Customer receipt of the Application within three Business Days of receipt.

3.0 The Company evaluates the Application for completeness and notifies the Customer within ten Business Days of receipt that the Application is or is not complete and, if not, advises what material is missing.

4.0 The Company verifies that the Small Generating Facility can be interconnected safely and reliably using the screens contained in the Fast Track Process in the Small Generator Interconnection Procedures (SGIP). The Company has 15 Business Days to complete this process. Unless the Company determines and demonstrates that the Small Generating Facility cannot be interconnected safely and reliably, the Company approves the Application and returns it to the Customer. Note to Customer: Please check with the Company before submitting the Application if disconnection equipment is required.

5.0 After installation, the Customer returns the Certificate of Completion to the Company. Prior to parallel operation, the Company may inspect the Small Generating Facility for compliance with standards which may include a witness test, and may schedule appropriate metering replacement, if necessary.

6.0 The Company notifies the Customer in writing that interconnection of the Small Generating Facility is authorized. If the witness test is not satisfactory, the Company has the right to disconnect the Small Generating Facility. The Customer has no right to operate in parallel until a witness test has been performed, or previously waived on the Application. The Company is obligated to complete this witness test within ten Business Days of the receipt of the Certificate of Completion. If the Company does not inspect within ten Business Days or by mutual agreement of the Parties, the witness test is deemed waived.

7.0 Contact Information – The Customer must provide the contact information for the legal applicant (i.e., the Interconnection Customer). If another entity is responsible for interfacing with the Company, that contact information must be provided on the Application.

8.0 Ownership Information – Enter the legal names of the owner(s) of the Small Generating Facility. Include the percentage ownership (if any) by any utility or public utility holding company, or by any entity owned by either.
9.0 UL1741 Listed – This standard ("Inverters, Converters, and Controllers for Use in Independent Power Systems") addresses the electrical interconnection design of various forms of generating equipment. Many manufacturers submit their equipment to a Nationally Recognized Testing Laboratory (NRTL) that verifies compliance with UL1741. This "listing" is then marked on the equipment and supporting documentation.

Application for Interconnecting a Certified Inverter-Based Small Generating Facility No Larger than 10kW

This Application is considered complete when it provides all applicable and correct information required below. Per SGIP section 1.5, documentation of site control must be submitted with the Interconnection Request. Additional information to evaluate the Application may be required.

Processing Fee

A non-refundable processing fee of $100 must accompany this Application.

Interconnection Customer

Name: ________________________________________________________________

Contact Person: _______________________________________________________

Address: _____________________________________________________________

City: __________________________ State: _____ Zip: _______________________

Telephone (Day): _______________ (Evening): __________________________

Fax: __________________________ E-Mail Address: _________________________

Contact (if different from Interconnection Customer)

Name: ________________________________________________________________

Address: _____________________________________________________________

City: __________________________ State: _____ Zip: _______________________

Telephone (Day): _______________ (Evening): __________________________

Fax: __________________________ E-Mail Address: _________________________

Owner of the facility (include % ownership by any electric utility): ________________
Small Generating Facility Information
Location (if different from above): _______________________________________________

Electric Service Company: ______________________________________________________

Account Number: ______________________________________________________________

Inverter Manufacturer: _________________________  Model: _________________________

Nameplate Rating: ___ (kW) ___ (kVA) ___ (AC Volts)

Single Phase ___  Three Phase ___

System Design Capacity: _________ (kW) _______ (kVA)

Prime Mover: _____Photovoltaic _____Reciprocating Engine _____Fuel Cell

_____Turbine ______Other (describe)______________________________________________

Energy Source:_____Solar  ____ Wind  _____Hydro  _____Diesel  _____Natural Gas

_____Fuel Oil ___  Other (describe)_______________________________________________

Is the equipment UL1741 Listed?       Yes____ No____

If Yes, attach manufacturer's cut-sheet showing UL1741 listing

Estimated Installation Date: ________________ Estimated In-Service Date: ____________

The 10 kW Inverter Process is available only for inverter-based Small Generating Facilities no larger than 10 kW that meet the codes, standards, and certification requirements of Attachments 3 and 4 of the Small Generator Interconnection Procedures (SGIP), or the Transmission Provider has reviewed the design or tested the proposed Small Generating Facility and is satisfied that it is safe to operate.
List components of the Small Generating Facility equipment package that are currently certified:

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<th>Equipment Type</th>
<th>Certifying Entity</th>
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Interconnection Customer Signature
I hereby certify that, to the best of my knowledge, the information provided in this Application is true. I agree to abide by the Terms and Conditions for Interconnecting an Inverter-Based Small Generating Facility No Larger than 10kW and return the Certificate of Completion when the Small Generating Facility has been installed.

Signed: ______________________________________________________________

Title: ___________________________ Date: ________________________

Contingent Approval to Interconnect the Small Generating Facility

(For Company use only)

Interconnection of the Small Generating Facility is approved contingent upon the Terms and Conditions for Interconnecting an Inverter-Based Small Generating Facility No Larger than 10kW and return of the Certificate of Completion.

Company Signature: ___________________________

Title: ___________________________ Date: ________________________

Application ID number: _________________

Company waives inspection/witness test? Yes___No___
Small Generating Facility Certificate of Completion

Is the Small Generating Facility owner-installed? Yes_____ No _____

Interconnection Customer: ________________________________________________

Contact Person: __________________________________________________________

Address: ________________________________________________________________

Location of the Small Generating Facility (if different from above):____________________

__________________________________________________________________________

City: ____________________________ State: ____ Zip Code: ________________

Telephone (Day): ________________ (Evening): __________________

Fax: __________________ E-Mail Address: ________________________________

Electrician:

Name: _________________________________________________________________

Address: _______________________________________________________________

City: ____________________________ State: ____ Zip Code: ________________

Telephone (Day): ________________ (Evening): __________________

Fax: __________________ E-Mail Address: ________________________________

License number: __________________________________________________________

Date Approval to Install Facility granted by the Company: _________________________

Application ID number: ____________________________________________________
Inspection:

The Small Generating Facility has been installed and inspected in compliance with the local building/electrical code of ______________________________________________________

Signed (Local electrical wiring inspector, or attach signed electrical inspection):

________________________________

Print Name: _______________________

Date: _________________

As a condition of interconnection, you are required to send/fax a copy of this form along with a copy of the signed electrical permit to (insert Company information below):

Name: __________________________________________________

Company: _______________________________________________

Address:_________________________________________________

City, State, ZIP: ___________________________________________

Fax: ____________________________________________________

Approval to Energize the Small Generating Facility (For Company use only)

Energizing the Small Generating Facility is approved contingent upon the Terms and Conditions for Interconnecting an Inverter-Based Small Generating Facility No Larger than 10kW

Company Signature: __________________________________________

Title: ___________________________ Date: _________________
Effective Date:

Terms and Conditions for Interconnecting an Inverter-Based Small Generating Facility No Larger than 10kW

1.0 Construction of the Facility
The Interconnection Customer (the "Customer") may proceed to construct (including operational testing not to exceed two hours) the Small Generating Facility when the Transmission Provider (the "Company") approves the Interconnection Request (the "Application") and returns it to the Customer.

2.0 Interconnection and Operation
The Customer may operate Small Generating Facility and interconnect with the Company’s electric system once all of the following have occurred:

2.1 Upon completing construction, the Customer will cause the Small Generating Facility to be inspected or otherwise certified by the appropriate local electrical wiring inspector with jurisdiction, and

2.2 The Customer returns the Certificate of Completion to the Company, and

2.3 The Company has either:

2.3.1 Completed its inspection of the Small Generating Facility to ensure that all equipment has been appropriately installed and that all electrical connections have been made in accordance with applicable codes. All inspections must be conducted by the Company, at its own expense, within ten Business Days after receipt of the Certificate of Completion and shall take place at a time agreeable to the Parties. The Company shall provide a written statement that the Small Generating Facility has passed inspection or shall notify the Customer of what steps it must take to pass inspection as soon as practicable after the inspection takes place; or

2.3.2 If the Company does not schedule an inspection of the Small Generating Facility within ten business days after receiving the Certificate of Completion, the witness test is deemed waived (unless the Parties agree otherwise); or

2.3.3 The Company waives the right to inspect the Small Generating Facility.

2.4 The Company has the right to disconnect the Small Generating Facility in the event of improper installation or failure to return the Certificate of Completion.

2.5 Revenue quality metering equipment must be installed and tested in accordance with applicable ANSI standards.

3.0 Safe Operations and Maintenance
The Customer shall be fully responsible to operate, maintain, and repair the Small Generating Facility as required to ensure that it complies at all times with the interconnection standards to which it has been certified.

4.0 Access
The Company shall have access to the disconnect switch (if the disconnect switch is required) and metering equipment of the Small Generating Facility at all times. The Company shall provide reasonable notice to the Customer when possible prior to using its right of access.

5.0 Disconnection
The Company may temporarily disconnect the Small Generating Facility upon the following conditions:

5.1 For scheduled outages upon reasonable notice.
5.2 For unscheduled outages or emergency conditions.
5.3 If the Small Generating Facility does not operate in the manner consistent with these Terms and Conditions.
5.4 The Company shall inform the Customer in advance of any scheduled disconnection, or as is reasonable after an unscheduled disconnection.

6.0 Indemnification
The Parties shall at all times indemnify, defend, and save the other Party harmless from, any and all damages, losses, claims, including claims and actions relating to injury to or death of any person or damage to property, demand, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the other Party's action or inactions of its obligations under this agreement on behalf of the indemnifying Party, except in cases of gross negligence or intentional wrongdoing by the indemnified Party.

7.0 Insurance
The Parties agree to follow all applicable insurance requirements imposed by the state in which the Point of Interconnection is located. All insurance policies must be maintained with insurers authorized to do business in that state.

8.0 Limitation of Liability
Each party's liability to the other party for any loss, cost, claim, injury, liability, or expense, including reasonable attorney's fees, relating to or arising from any act or omission in its performance of this Agreement, shall be limited to the amount of direct damage actually incurred. In no event shall either party be liable to the other party for any indirect, incidental, special, consequential, or punitive damages of any kind whatsoever, except as allowed under paragraph 6.0.

9.0 Termination
The agreement to operate in parallel may be terminated under the following conditions:
Effective Date:

9.1 **By the Customer**
By providing written notice to the Company.

9.2 **By the Company**
If the Small Generating Facility fails to operate for any consecutive 12 month period or the Customer fails to remedy a violation of these Terms and Conditions.

9.3 **Permanent Disconnection**
In the event this Agreement is terminated, the Company shall have the right to disconnect its facilities or direct the Customer to disconnect its Small Generating Facility.

9.4 **Survival Rights**
This Agreement shall continue in effect after termination to the extent necessary to allow or require either Party to fulfill rights or obligations that arose under the Agreement.

10.0 **Assignment/Transfer of Ownership of the Facility**
This Agreement shall survive the transfer of ownership of the Small Generating Facility to a new owner when the new owner agrees in writing to comply with the terms of this Agreement and so notifies the Company.