

The Company has listed below any material changes that have taken effect since January 1, 2020.

**(a) FERC Uniform System of Accounts Changes**

There were no material changes.

**(b) FERC Form No. 1 Reporting Requirements Changes**

There were no material changes.

**(c) FERC Ratemaking Orders Applicable to the SPS Formula Rate**

**Update Transmission Depreciation Rates and Other Formula Rate Template Changes (Docket No. ER19-404)** – On November 27, 2018, SPS filed to: 1) update its transmission depreciation rates; 2) revise the Formula Rate Template's Base Plan Upgrade revenue requirement calculation to use the weighted average transmission depreciation rate; 3) revise the Formula Rate Template to recover certain wholesale regulatory commission expenses; 4) correct the allocation of transmission-specific ADIT; and 5) revise the methodology for calculating ADIT to amortize the "excess" ADIT caused by the TCJA and include the amortization in the income tax calculation. On January 31, 2019, the Commission issued an Order accepting and suspending SPS' proposed revisions, suspend them for a nominal period, to become effective February 1, 2019, subject to refund, and establish hearing and settlement judge procedures (166 FERC ¶ 61,077. On December 23, 2019, SPS filed a Stipulation and Agreement of Settlement ("Settlement Agreement") as a full and complete resolution of all issues in the case. Specifically, the Settlement Agreement implements:

- A reduction to rate base for unfunded reserves;
- Revises the depreciation rates and commits that SPS will not file to modify the revised transmission depreciation rates before November 1, 2020, or request an effective date for such modifications before January 1, 2021;
- Revises the Base Plan Upgrade revenue requirement calculation to use a weighted average transmission depreciation rate;
- Withdraws SPS's proposal to directly assign to SPS's wholesale transmission service customers certain regulatory commission expenses recorded to Account 928; and,
- Commits SPS to amortize for accounting purposes and flow back in rates, based on the Average Rate Assumption Method, excess plant-related protected Accumulated Deferred Income Tax ("ADIT") balances.
- Commits SPS to amortize for accounting purposes, and flow back in rates, excess ADIT related to non-plant balances.

On April 20, 2020, the Commission issued a Letter Order approving the Settlement Agreement (171 FERC ¶ 61,058).

**Wholesale Distribution Service Charge (Docket No. ER19-675)** – On December 21, 2018, SPS filed to establish a new formula rate mechanism to calculate a monthly Wholesale Distribution Service Charge applied to SPS' transmission service customers that take delivery of energy from SPS at a distribution voltage. SPS requested an effective date of March 1, 2019. On February 28, 2019, the Commission issued an Order accepting and suspending the proposed tariff revisions effective August 1, 2019, subject to refund (166 FERC ¶ 61,156). On February 21, 2020, SPS filed an Offer of Partial Settlement that addresses all issues between Golden Spread Electric Cooperative, Inc. ("Golden Spread") and SPS. On May 26, 2020, the Commission issued a Letter Order approving the Golden Spread Partial Settlement Agreement (171 FERC ¶ 61,163). The Partial Settlement with Golden Spread does not address the issues between SPS and Lubbock Power and Light ("LP&L"), which are being resolved through a separate stipulation that

was filed on May 29, 2020. On September 1, 2020, the Commission issued a Letter Order approving the LP&L Stipulation and Partial Settlement (172 FERC ¶ 61,197).

**Exclude Costs Associated with Advanced Grid Intelligence and Security Initiative and other Ministerial Changes (Docket No. ER20-2829)** – On September 4, 2020, SPS filed to revise its transmission formula rate template to exclude costs associated with SPS's Advanced Grid Intelligence and Security ("AGIS") initiative from the determination of SPS's wholesale revenue requirement because these costs are for the benefit of retail customers. In addition, SPS proposes several ministerial changes to Tables in the Template. SPS requested an effective date of January 1, 2020. The case is currently pending.

**(d) Accounting Policies, Practices or Procedures of SPS**

There were no material changes.

**(e) FERC Related Items**

**Rent Expenses** – Effective January 2020, costs for operating shared facilities are recorded in the appropriate administrative and general account or miscellaneous account instead of Rent expenses (such as FERC Accounts 507, 567, and 931). This accounting change is a result of a finding in the NSP-Minnesota FERC audit (Docket No. FA17-5).

**Corporate Credit Card Rebates** - Effective January 2020, rebates received related to the Corporate Credit Card program will be recorded as a credit to operating and maintenance ("O&M") expense and as a credit to Fleet overheads based on the nature of the credit card purchases. Crediting the rebate received to O&M and Fleet overheads aligns the accounting treatment of the rebate with the initiating purchases. The O&M portion of the rebate will be credited to FERC Account 922, Administrative Expenses Transferred-Credit, on the Operating Companies and FERC Account 921, Office Supplies and Expenses, on the Service Company noting that FERC Account 922 is not available for use on Service Companies. The credit will be recorded to the common profit center which will then be allocated to the various utility activities through the Utility Allocation process. The Fleet rebate will be recorded as a Fleet overhead expense.

**(f) GAAP-Related Items**

**Credit Losses** - In 2016, the FASB issued *Financial Instruments - Credit Losses*, Topic 326 (ASC Topic 326), requiring the use of a current expected credit loss model (CECL model) for receivables and certain other assets, which may result in earlier recognition of credit losses (i.e., bad debt expense), relative to previous accounting standards.

On May 7, 2020, FERC issued guidance in Docket A120-2-000 authorizing the use of *FERC Account 439 – Adjustments to Retained Earnings* to recognize the initial impact of adoption of ASC Topic 326.

SPS adopted the guidance on Jan. 1, 2020, recognizing an additional \$220,693 allowance for doubtful accounts in *FERC Account 144 – Accumulated Provision for Uncollectible Accounts* and \$48,911 of tax benefit in *FERC Account 190 – Accumulated Deferred Income Taxes*, along with an offsetting cumulative effect charge of \$171,782 (after tax) to *FERC Account 439 – Adjustments to Retained Earnings*. The amounts recorded at implementation relate entirely to first-time recognition of an allowance for doubtful accounts on the balance of unbilled revenues in *FERC Account 173 – Accrued Utility Revenues* at January 1, 2020.

Following adoption, with the initial allowance established with a charge to retained earnings, each month the prior month's allowance will be reversed (Dr. *FERC Account 144 – Accumulated Provision for Uncollectible Accounts*, Cr. *FERC 904 – Uncollectible Accounts* (when accrued revenues become billed accounts receivable, with an appropriate amount of bad debt expense and allowance for doubtful accounts recognized in the ordinary monthly billing and accounting cycle)) and replaced with an allowance on the new month-end balance of accrued revenues (Dr. *FERC 904 – Uncollectible Accounts*, Cr. *FERC Account 144 – Accumulated Provision for Uncollectible Accounts*). There is not impact to the transmission formula rate template for this change, as any amounts recorded in FERC Account 190, would be assigned to retail.

**(g) Significant Unusual or Non-Recurring Income or Expense**

None

**(h) Other**

**Service Company Statistical Change to Specific Allocators:**

**New Allocator for AMI Meters** – Effective February 2020, an allocation method specifically for AMI meters was added under the current Meters Ratio which uses AMI-enabled meters by jurisdiction.

**Capitalization of Software** – Effective on a prospective and retrospective basis, capital software costs will be allocated and capitalized to all Xcel Energy companies benefiting from the use of the software. Previously, capital software costs were only allocated to the Operating Companies of Xcel Energy. This accounting change is a result of a finding in the Xcel Energy FERC audit (Docket No. FA17-4).

**Allocation of Service Company Income Tax Expense** – Effective January 2020, Service Company (“XES”) income tax expense will be allocated to all Xcel Energy companies benefiting from XES’s activities that caused XES to incur income tax expense. This accounting change is a result of a finding in the Xcel Energy FERC audit (Docket No. FA17-4).

**(i) SPP and/or Xcel Energy OATT changes that relate to SPS**

- Docket EL18-9 – On October 10, 2017, Xcel Energy Services filed a formal complaint against SPP’s Attachment Z2 process - On August 15, 2019, EDF Renewables, Inc., et al. filed an answer in response to the answer of Xcel Energy Services Inc. to SPP’s Motion for Stay of Refund Condition, to Hold Proceedings in Abeyance, and for Initiation of Settlement Procedures filed on June 28, 2019 - On August 30, 2019, SPP filed an answer in response to the various answers filed in response to SPP’s Motion for Stay of Refund Condition, to Hold Proceedings in Abeyance, and for Initiation of Settlement Procedures filed on June 28, 2019.
- Docket EL18-19 On March 22, 2018 the Indicated SPP Transmission Owners filed a protest against SPP proposed refund requirements by non-jurisdictional entities.
- Docket EL18-20 - On October 13, 2017, the Indicated SPP Transmission Owners filed a complaint against SPP concerning cost shifts resulting from the addition of a new SPP member.
- Docket EL18-26 - On October 30, 2017, EDF Renewable Energy filed a complaint against continent Independent System Operator, Inc. and SPP regarding affected system coordination.
- Docket EL18-58 – On January 26, 2018, Oklahoma Municipal Power Authority filed a complaint against Oklahoma Gas and Electric claiming the return on equity is unjust and unreasonable.

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- Docket ER18-99 – On October 18, 2017, SPP submitted Tariff Revisions to Incorporate South Central MCN LLC's Formula Rate - On August 30, 2019, SPP submitted an Offer of Settlement and Settlement Agreement to resolve all issues set for hearing in this proceeding - On August 30, 2019, Judge Cintron issued an Order of Chief Judge Suspending Initial Decision Deadline.
- Docket ER18-194 -On October 31, 2017, American Electric Power filed revisions to the formula rate of American Electric Power Service Corporation's Transmission Companies, AEP Oklahoma Transmission Company, Inc. and AEP Southwestern Transmission Company, Inc.
- Docket ER18-195 - On October 31, 2017, American Electric Power filed revisions to the formula rate of American Electric Power Service Corporation's Operating Companies, Public Service Company of Oklahoma and Southwestern Electric Power Company.
- Docket ER18-374 - On December 1, 2017, SPP filed tariff revisions to Attachment Z2 that make non-capacity Network Upgrades ineligible to be Creditable Upgrades and to specify that Short-Term Firm Point-To-Point Transmission Service and Non-Firm Point-To-Point granted on or after February 1, 2018 shall not be used to pay Attachment Z2 revenue credits.
- Docket ER18-502 - On December 21, 2017, Midcontinent Independent System Operator, Inc. Filing of Network Resource Process Improvement Tariff Revisions.
- Docket ER18-660 - On January 17, 2018, SPP submitted a transmission interconnection agreement between Southwestern Power Administration and South Central MCN LLC, with SPP as signatory.
- Docket ER18-748 - On January 30, 2018, SPP submitted tariff revisions to recover costs of network upgrades for which SPP Issued Notifications to Construct to American Electric Power Service Corporation and which SPP later withdrew.
- Docket ER18-757 - On January 31, 2018, SPP submitted tariff revisions to Section 8.6.15 of Attachment AE in order to eliminate a gaming issue related to regulation deployment adjustment.
- Docket ER18-770 - On January 31, 2018, SPP submitted a Network Integration Transmission Service Agreement and Network Operating Agreement between SPP, Associated Electric Cooperative, Inc. as the network customer, and American Electric Power Service Corporation as the host transmission owner.
- Docket ER18-792 - On February 2, 2018, SPP submitted tariff revisions modifying Section 8.6.16 of Attachment AE to prevent the potential dilution of over-collected losses (OCL) payments that can occur when SPP's existing OCL allocation methodology is applied to certain types of transactions conducted pursuant to bilateral settlement schedules.
- Docket ER18-875 – On February 20, 2018, SPP filed a wholesale distribution service agreement between NorthWestern Corporation d/b/a NorthWestern Energy and the City of Bryant, South Dakota, with SPP as signatory.
- Docket ER18-876 - On February 20, 2018, SPP filed a wholesale distribution service agreement between NorthWestern Corporation d/b/a NorthWestern Energy and the City of Groton, South Dakota, with SPP as signatory.
- Docket ER18-878 - On February 20, 2018, SPP filed a wholesale distribution service agreement between NorthWestern Corporation d/b/a NorthWestern Energy and the Town of Langford, South Dakota, with SPP as Signatory.
- Docket ER18-895 - On February 22, 2018, SPP filed a Network Integration Transmission Service Agreement and Network Operating Agreement between SPP, Western Farmers Electric Cooperative as network customer and host transmission owner, and American Electric Power Service Corporation and Oklahoma Gas and Electric Company as host transmission owners.
- Docket ER18-939 - On February 28, 2018, SPP submitted Membership Agreement revisions to implement refund obligations for non-public utility SPP transmission owners consistent with Commission orders in Docket Nos. EL16-91-000 and EL18-19-000.
- Docket ER18-985 - On March 9, 2018, SPP submitted revisions to formula rate template to update depreciation rates for Tri-State Generation and Transmission Association, Inc.
- Docket ER18-995 – On May 10, 2018, SPP submitted a tariff filing per 35.17(b): Amended Filing - Attachment AE Revisions to Clarify Registration of Load to be effective 5/11/2018.

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- Docket ER18-1013 – On March 14, 2018, SPP filed a transmission interconnection agreement between Westar Energy, Inc., Kansas Gas and Electric Company and Mid-Kansas Electric Company, Inc.
- Docket ER18-1078 - On March 14, 2018, SPP submitted tariff revisions to require phasor measurement units at new generator interconnections.
- Docket ER18-1093 - On March 15, 2018, SPP submitted an executed Network Integration Transmission Service Agreement and Network Operating Agreement between SPP, Kansas Municipal Energy Agency as network customer, and Kansas City Power & Light Company as host transmission owner.
- Docket ER18-1195 - On March 28, 2018, SPP submitted Notice of Cancellation of the Network Integration Transmission Service Agreement and Network Operating Agreement between SPP, Arkansas Electric Cooperative Corporation as network customer, and American Electric Power Service Corporation and Oklahoma Gas and Electric Company as host transmission owners.
- Docket ER18-1198 - On March 28, 2018, SPP filed a Meter Agent Services Agreement between Kansas Municipal Energy Agency as market participant and Kansas City Power & Light Company as meter agent.
- Docket ER18-1212 - On March 29, 2018, SPP Network Integration Transmission Service Agreement and Network Operating Agreement between SPP, Kansas Municipal Energy Agency as network customer, and Sunflower Electric Power Corporation as host transmission owner.
- Docket ER18-1268 - On March 30, 2018, SPP submitted tariff revisions to implement a set of resource adequacy requirement policies.
- Docket ER18-1309 - On April 6, 2018, SPP executed a generator interconnection agreement between SPP as the transmission provider and Cottonwood Wind Project, LLC as interconnection customer, and Nebraska Public Power District as the transmission owner. This executed service agreement was filed under SPP Service Agreement No. Sixth Revised 2252.
- Docket ER18-1315 - On April 9, 2018, SPP filed a Notice of Cancellation of the NITSA between SPP as transmission provider and Arkansas Electric Cooperative Corporation as network customer, as well as a NOA with American Electric Power Service Corporation, as agent for Southwestern Electric Power Company, as the host transmission owner. This cancellation notice was filed under SPP Service Agreement No. Second Revised 2888. An effective date of January 1, 2018 was requested.
- Docket ER18-1323 - On April 9, 2018, SPP submitted revisions to Sections 7.6 and 7.6.3 of Attachment AE of the tariff in order to restore opportunities for awards of Auction Revenue Rights ("ARR") megawatts on unused system capacity due to restrictions in round three of the annual ARR allocation market in the SPP Integrated Marketplace. An effective date of November 1, 2018 was requested.
- Docket ER18-1326 - On April 9, 2018, SPP submitted revisions to the Form of Irrevocable Standby Letter of Credit contained in Appendix C to Attachment X of the tariff in order to clarify unclear and inconsistent language and update permissible communication methods. An effective date of June 8, 2018 was requested.
- Docket ER18-1382 - On April 17, 2018, SPP submitted an executed NITSA and NOA between SPP as the transmission provider and The Empire District Electric Company as both the network customer and host transmission owner. This executed service agreement was filed under SPP Service Agreement No. Eighth Revised 1630. An effective date of April 1, 2018 was requested.
- Docket ER18-1397 - On April 19, 2018, SPP submitted a Notice of Cancellation of the NITSA Between SPP as the transmission provider, Otter Tail Power Company as the network customer, as well as a NOA with Otter Tail, Central Power Electric Cooperative, Inc. and Western Area Power Administration as the host transmission owners. The cancellation notice was filed under SPP Service Agreement No. 3294. An effective date of January 1, 2018 was requested.
- Docket ER18-1403 - On April 20, 2018, SPP submitted an executed agreement establishing a pseudo-tie electrical interconnection point among SPP, Basin Electric Power Cooperative as the market participant, and MidAmerican Energy Company as the external local balancing authority.

This executed agreement is filed under SPP Service Agreement No. 3399. An effective date of April 1, 2018 was requested.

- Docket ER18-1426 - On April 23, 2018, SPP submitted a clean-up filing to make non-substantive corrections to certain sections of its tariff. An effective date of July 1, 2018 was requested.
- Docket ER18-1452 - On April 27, 2018, SPP submitted an executed NITSA between SPP as transmission provider, Kansas Electric Power Cooperative, Inc. as the network customer, as well as a NOA with Midwest Energy, Inc. as the host transmission owner. This executed agreement was filed under SPP Service Agreement No. Third Revised 1637. An effective date of April 1, 2018 was requested.
- Docket ER18-1456 - On April 27, 2018, SPP submitted an executed NITSA and NOA between SPP as the transmission provider and Southwestern Public Service Company as both network customer and host transmission owner. This agreement was filed under SPP Service Agreement No. Eleventh Revised 1910. An effective date of April 1, 2018 was requested.
- Docket ER18-1463 - On April 27, 2018, SPP submitted an executed GIA between SPP as the transmission provider, Magnet Wind Farm, LLC as the interconnection customer, and Nebraska Public Power District as the transmission owner. This executed agreement was filed under SPP Service Agreement No. 3435. An effective date of April 13, 2018 was requested.
- Docket ER18-1467 - On April 30, 2018, SPP submitted an executed Meter Agent Services Agreement between Kansas Power Pool as the market participant and Westar Energy, Inc. as the meter agent. This service agreement was filed under SPP Service Agreement No. Third Revised 2827. An effective date of April 1, 2018 was requested.
- Docket ER18-1468 - On April 30, 2018, SPP submitted an executed NITSA between SPP as the transmission provider, Kansas Power Pool as the network customer, as well as a NOA with Midwest Energy, Inc., Mid-Kansas Electric Company, LLC, and Westar Energy, Inc. as the host transmission owners. This service agreement was filed under SPP Service Agreement No. Twenty-Fourth Revised 2198. An effective date of April 1, 2018 was requested.
- Docket ER18-1500 – On May 1, 2018, Southwest Power Pool, Inc. submits tariff filing per 35.13(a)(2)(iii): 2825R5 KMEA and Westar Energy Meter Agent Agreement to be effective 6/1/2018.
- Docket ER18-1501 – On May 2, 2018, Southwest Power Pool, Inc. submits tariff filing per 35.13(a)(2)(iii): 2450R2 KEPCO NITSA NOA to be effective 6/1/2018.
- Docket ER18-1504 – On May 2, 2018, Southwest Power Pool, Inc. submits tariff filing per 35.13(a)(2)(iii): 2451R3 KEPCO NITSA NOA for Kansas Electric Power cooperatives to be effective 6/1/2018.
- Docket ER18-1505 – On May 2, 2018, Southwest Power Pool, Inc. submits tariff filing per 35.13(a)(2)(iii): 2451R3 KEPCO NITSA NOA for Kansas Electric Power cooperatives to be effective 6/1/2018.
- Docket ER18-1521 – On May 4, 2018, Petition of Southwestern Public Service Company for Waiver of Tariff Provisions.
- Docket ER18-1541 – On May 8, 2018, SPP submitted a tariff filing per 35: AEP Transcos Formula Rate Compliance Filing Pursuant to Order in EL18-63 to be effective 1/1/2018.
- Docket ER18-1542 – On May 8, 2018, American Electric Power Service Corporation submits tariff filing per 35.13(a)(2)(iii): AEP Formula Rate Revisions to be effective 1/1/2018.
- Docket ER18-1562 – On May 9, 2018, SPP submitted a tariff filing per 35: Order No. 842 Compliance Filing to be effective 5/15/2018.
- Docket ER18-1568 – On May 10, 2018, SPP submitted a tariff filing per 35.13(a)(2)(iii): Market Import Service Tariff Revisions to be effective 7/10/2018.
- Docket ER18-1572 - On May 11, 2018, SPP submitted a tariff filing per 35.13(a)(2)(iii): 3446 Group NIRE/SPS Facilities Construction Agreement to be effective 7/10/2018.
- Docket ER18-1590 – On May 14, 2018 SPP submitted a tariff filing per 35.13(a)(2)(iii): Revisions to Extend Tariff Administration between SPP and SPA through 07/31/2018 to be effective 4/1/2018.

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- Docket ER18-1632 – On May 15, 2018, SPP submitted filing per 35.13(a)(2)(iii): Major Maintenance Cost Component to Mitigated Start-Up and No-Load Offers to be effective 1/15/2019.
- Docket ER18-1640 – On May 16, 2018, SPP submitted revised Network Integration Transmission Services Agreement and Network Operating Agreement for Arkansas Electric Cooperative Corporation.
- Docket ER18-1642 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner - On July 6, 2018, FERC issued an order accepting the agreement, effective January 1, 2018 as requested.
- Docket ER18-1643 Submission of Tariff Revisions to Remove the Term "Control Area" and to Clean-up Related Terminology in Attachment AE, Section 11.1.4 - On June 22, 2018, FERC issued an order accepting SPP's revisions to Section 11.1.4 of Attachment AE of the Tariff to remove the antiquated terms "Control Area" and "regional reliability council" and to delete a redundant sub-section. An effective date of July 16, 2018 was granted.
- Docket ES18-33 – On April 27, 2018, SPP submitted an Application under Section 204 of the Federal Power Act for an order authorizing the Issuance of securities.
- Docket ER18-1662 Submission of Tariff Revisions to Clarify Contingency Reserve Clearing During Contingency Reserve Events - On July 20, 2018, FERC issued a letter stating that SPP's filing is deficient and additional information is required in order to evaluate the filing. SPP submitted its response on August 20, 2018.
- Docket ER18-1756 Submission of Tariff Revisions to Remove the Requirement to Perform Stand Alone Scenario in the Definitive Interconnection System Impact Study ("DISIS") - On August 2, 2018, FERC issued an order accepting SPP's revisions to Attachment V of the Tariff to enable SPP to expedite the study process for Generator Interconnection Requests in the Definitive Interconnection System Impact Study Queue by removing the requirement to perform the "Stand Alone Scenario".
- Docket ER18-1757 Submission of Tariff Revisions to Incorporate NextEra Energy Transmission Southwest, LLC's ("NEET Southwest") Formula Rate - On August 27, 2018, FERC issued an order accepting SPP's tariff revisions to add an Annual Transmission Revenue Requirement and to implement the formula rate template and implementation protocols for transmission service using the facilities of NextEra Energy Transmission Southwest, LLC ("NEET Southwest") when NEET Southwest transfers functional control of its transmission facilities to SPP. The tariff revisions were accepted to be effective on the first day of the month on or after the date that NEET Southwest acquires the transmission facilities, subject to the outcome of the proceedings in Docket No. ER16-2720.
- Docket ER18-1838 Transmission Interconnection Agreement between Sunflower Electric Power Cooperative ("Sunflower") and Southwestern Public Service Company ("SPS"), with SPP as Signatory - On August 14, 2018, FERC issued an order accepting the agreement, effective June 26, 2018 as requested.
- Docket ER18-1854 Submission of Tariff Revisions to Enhance Out-of-Merit Energy ("OOME") - On August 22, 2018, FERC issued an order accepting SPP's revisions to Attachment AE of the Tariff in order to enhance the Out-of-Merit Energy (OOME) process. An effective date of May 1, 2019 was granted.
- Docket ER18-1899 Midcontinent Independent System Operator, Inc.'s ("MISO") Submission of Revisions to Add a Pro Forma Pseudo-Tie Agreement and Related Tariff Provisions - On August 6, 2018, American Municipal Power, Inc. filed an answer to protests filed by Entergy Services, Inc., Illinois Municipal Electric Agency, and the Midwest TDUs. - On August 6, 2018, the Midcontinent Independent System Operator, Inc. filed an answer in response to the comments and protests filed in this proceeding.

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- Docket ER18-1899 On August 27, 2018, FERC issued a letter stating the Midcontinent Independent System Operator, Inc.'s filing is deficient and requesting additional information in order to process it.
- Docket ER18-1919 Submission of Tariff Revisions to Midwest Energy, Inc.'s Formula Rate Protocols Set Forth in Addendum 14 of Attachment H - On August 21, 2018, FERC issued an order accepting SPP's tariff revisions to changes to Midwest Energy's formula rate implementation protocols contained in Addendum 14 to Attachment H of the Tariff. An effective date of July 1, 2018 was granted.
- Docket ER18-1963 Midwest Energy, Inc.'s ("Midwest") Petition for Temporary Waiver of Formula Rate Protocols Set Forth in Addendum 14 to Attachment H of SPP's Tariff - On July 3, 2018, Midwest Energy, Inc. filed a petition for waiver of the terms of the current formula rate implementation protocols located in SPP's Tariff until such time as the Commission rules on the proposed changes to the protocols filed by SPP in Docket No. ER18-1919. On July 25, 2018, SPP filed a Motion to Intervene.
- Docket ER18-1987 Generator Interconnection Agreement ("GIA") between Upstream Wind Energy LLC ("Upstream") as Interconnection Customer and Nebraska Public Power District ("NPPD") as Transmission Owner - On July 10, 2018, SPP submitted an executed GIA between SPP as Transmission Provider, Upstream Wind Energy LLC as Interconnection Customer, and Nebraska Public Power District as Transmission Owner. SPP Service Agreement No. First Revised 3054. An effective date of June 12, 2018 was requested.
- Docket ER18-2004 Transmission Interconnection Agreement between Mid-Kansas Electric Company, Inc. ("Mid-Kansas") and City of Anthony, Kansas ("Anthony"), with SPP as Signatory - On August 2, 2018, Kansas Municipal Energy Agency filed a Motion to Intervene. - On August 24, 2018, FERC issued an order accepting the agreement, effective June 29, 2018 as requested.
- Docket ER18-2009 Submission of Tariff Revisions to Enhance Regulation Deployment of Dispatchable Variable Energy Resources ("DVER") - On August 31, 2018, FERC issued a letter stating that SPP's filing is deficient and additional information is required in order to evaluate the filing. SPP's response is due by October 1, 2018.
- Docket ER18-2027 Submission of Tariff Revisions to Modify the Mitigation Methodology for Locally-Committed Resources - On August 2, 2018, Western Farmers Electric Cooperative filed a Motion to Intervene. On August 7, 2018, Golden Spread Electric Cooperative, Inc. filed a Motion to Intervene and Comments in Support. On August 7, 2018, Xcel Energy Services Inc. filed a Motion to Intervene.
- Docket ER18-2030 Submission of Tariff Revisions to SPP's Market Settlements Revenue Neutrality Uplift ("RNU") Rounding Process - On August 31, 2018, FERC issued an order accepting SPP's revisions to Attachment AE of the Tariff in order to automate the distribution of residual rounding issues resulting from the Revenue Neutrality Uplift. An effective date of May 1, 2019 was granted.
- Docket ER18-2058 Submission of Tariff Revisions to Change Market Settlement Timelines Several parties filed motions to intervene. ER18-2072 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Electric Power Cooperative ("KEPCO") as Network Customer, and Kansas City Power & Light Company ("KCPL") as Host Transmission Owner - On August 6, 2018, Kansas City Power & Light Company filed a Motion to Intervene.
- Docket ER18-2135 Submission of Revisions to Attachment AD to Extend Tariff Services SPP Performs on behalf of Southwestern Power Administration ("Southwestern") through December 31, 2018 - On August 2, 2018, SPP submitted revisions to Attachment AD of the Tariff to reflect the extension of Tariff services SPP performs on the behalf of Southwestern Power Administration through December 31, 2018. An effective date of August 1, 2018 was requested. On August 6, 2018, Westar Energy, Inc., Kansas City Power & Light Company, and KCP&L Greater Missouri Operations Company filed a Motion to Intervene. On August 17, 2018, GridLiance High Plains LLC filed a Motion to Intervene. On August 22, 2018, Southwestern Power Administration filed a Motion to Intervene.



- Docket ER18-2243 Cost Allocation for Proposed Morgan Transformer Project (Part 1 of 2) - On August 17, 2018, SPP submitted revisions to its Open Access Transmission Tariff and its Rate Schedules and Seams Agreement Tariff to propose a new Cost Sharing and Usage Agreement between SPP and Associated Electric Cooperative, Inc. ("AECI") as SPP Rate Schedule FERC No. 15 ("Cost Sharing Agreement"). Specifically, SPP submits for Commission review and acceptance: (1) the Cost Sharing Agreement, which details the terms and conditions between the parties governing the construction, ownership, operation, and cost allocation for the Morgan Transformer Project (a project that SPP and AECI have determined is mutually beneficial); and (2) revisions to SPP's Tariff to establish cost allocation within SPP for SPP's negotiated share of the revenue requirements of the Morgan Transformer Project, by which SPP's share of the costs will be allocated on a Load Ratio Share basis utilizing the Region-wide Charge assessed to all SPP Transmission Customers. The Cost Sharing Agreement was submitted in Docket No. ER18-2245-000. An effective date of October 16, 2018 was requested. Several parties filed motions to intervene. On August 29, 2018, the Missouri Public Service Commission filed comments in support of SPP's filing.
- Docket ER18-2245 Cost Allocation for Proposed Morgan Transformer Project (Part 2 of 2) - On August 17, 2018, SPP submitted revisions to the Rate Schedules and Seams Agreement Tariff to add the Cost Sharing and Usage Agreement between SPP and Associated Electric Cooperative, Inc. as Rate Schedule FERC No. 15. Tariff revisions associated with this filing were submitted in Docket No. ER18-2243-000. An effective date of October 16, 2018 was requested. Several parties filed motions to intervene. On August 29, 2018, the Missouri Public Service Commission filed comments in support of SPP's filing.
- Docket ER18-2318 Submission of Tariff Revisions to Comply with Order No. 844 -On August 27, 2018, SPP submitted its compliance filing in response to Order No. 844. An effective date of January 1, 2019 was requested.
- Docket ER18-2324 NorthWestern Corporation's ("NorthWestern") Requested for Limited Waivers of Certain Provisions of SPP's Tariff that Govern the Processing and Study of Generator Interconnection Requests, the Registration of Dispatchable Variable Energy Resources ("DVERs") and Certain Provisions of SPP's Generator Interconnection Agreement ("GIA") - On August 27, 2018, NorthWestern Corporation filed a request for limited waivers of certain provisions of SPP's Tariff that govern the processing and study of generator interconnection requests, the registration of Dispatchable Variable Energy Resources, and certain provisions of SPP's Generator Interconnection Agreement. The requested waivers involve NorthWestern's Large Generator Interconnection Agreement with Rolling Thunder I Power Partners, LLC, and they are necessitated by NorthWestern's move to SPP in 2015. The waivers, if granted, will allow NorthWestern to revise the description of the point of interconnection in the LGIA to conform to SPP's definition of "Transmission Facilities" and to convert the LGIA to a GIA under the SPP Tariff.
- Docket ER18-2326 Submission of Notice of Cancellation of the Joint Operating Agreement ("JOA") Among and Between Southwest Power Pool, Inc. and Western Area Power Administration - On August 28, 2018, SPP submitted a Notice of Cancellation of the Joint Operating Agreement Among and Between Southwest Power Pool, Inc. and Western Area Power Administration. The JOA expired of its own terms on June 21, 2018.
- Docket ER18-2334 Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Rush County Wind Farm LLC ("Rush County") as Interconnection Customer and ITC Great Plains, LLC ("ITC") as Transmission Owner - On August 29, 2018, SPP submitted a Notice of Cancellation of the GIA between SPP as Transmission Provider, Rush County Wind Farm LLC as Interconnection Customer, and ITC Great Plains, LLC as Transmission Owner. SPP Service Agreement No. 3193. An effective date of August 15, 2018 was requested.
- Docket ER18-2358 Submission of Tariff Revisions to Add Additional Facilities for GridLiance High Plains LLC ("GridLiance HP") - On August 30, 2018, SPP submitted tariff revisions to add an Annual Transmission Revenue Requirement for transmission service using certain facilities of GridLiance High Plains LLC ("GridLiance HP"), previously named South Central MCN LLC, when

GridLiance HP transfers functional control of additional transmission facilities to SPP On August 1, 2019, Judge Long issued an Order Adopting Hearing Rules - .On August 16, 2019, GridLiance High Plains LLC filed its response to the formal challenge filed by Xcel Energy Services Inc. on July 1, 2019 in Docket No. ER19-1357.

- Docket EL18-194 Nebraska Public Power District Complaint on SPP's zonal placement of Tri-State Electric Cooperative's Revenue Requirement in the NPPD Pricing Zone – On August 21, NPPS filed a complaint regarding the placement of Tri-State's revenue requirement into the NPPD pricing zone.
- Docket EL18-199 East Texas Electric Cooperative Complaint against Public Service of Oklahoma, Southwest Electric Service Company, and AEP Oklahoma Transmission Company, and AEP Southwest Transmission Company – On September 6, East Texas filed its second ROE complaint against the AEP companies regarding ROE.
- Docket ER19-1680 NITSA and NOA between SPP, GSEC as Network Customer, and SPS and OG&E as Host Transmission Owners - On August 15, 2019, Golden Spread Electric Cooperative, Inc. filed comments in response to SPP's response to the letter requesting additional information - On August 15, 2019, Xcel Energy Services Inc. filed Protest in response to SPP's response to the letter requesting additional information - On August 30, 2019, Golden Spread Electric Cooperative, Inc. filed an answer in response to the Protest filed by Xcel Energy Services Inc. on August 15, 2019.
- Docket ER19-2640 NITSA and NOA between SPP and SPS as Network Customer and Host Transmission Owner. On August 20, 2019, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and SPS as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Fifteenth Revised 1910. The agreements were replaced by the Sixteenth Revised 1910 being reported solely in SPP's electric quarterly report. An effective date of June 1, 2019 was requested.
- Docket ER19-2640 NITSA and NOA between SPP and SPS as Network Customer and Host Transmission Owner on August 20, 2019, SPP submitted an executed NITSA and NOA between SPP as Transmission Provider and SPS as both Network Customer and Host Transmission Owner. SPP Service Agreement No. Fifteenth Revised 1910. The agreements were replaced by the Sixteenth Revised 1910 being reported solely in SPP's electric quarterly report. An effective date of June 1, 2019 was requested.
- Docket ER19-1357 Gridllance High Plains LLC's 2019 Informational Filing that notices the GridLiance projected 2019 revenue requirements. This docket has been consolidated with the ER18-2358 docket pertaining to the zonal placement of the GridLiance revenue requirement in the SPS pricing zone.
- Docket ER19-1954 SPP Order 845 Compliance Filing that meets FERC Order 845 requirements on improving the generator interconnection process. This revision impacts construction of network upgrades, surplus interconnection service, electric storage device definitions, and other generator interconnection process improvements.
- Docket ER19-2273 Sunflower and Mid-Kansas Electric Company revisions to their formula rate template due to the merger of both companies.
- Docket ER19-2522 SPP's compliance revisions to the SPP Bylaws and Membership Agreement to address the FERC order on the SPP exit fee complaint in docket EL19-11. This filing eliminates exit fee responsibility from non-load serving entities and shifts those cost responsibilities other SPP members.
- Docket ER19-2681 SPP revisions to modify market timelines.
- Docket ER19-602 SPP revisions to recover costs for SPP Notice to Construct (NTC) that were later withdrawn. American Electric Power is seeking to recover costs for two uncompleted transmission upgrades cancelled by SPP.
- Docket ER20-1059 SPP Western Energy Imbalance Service Tariff filing to implement an energy imbalance market in the West region.
- Docket ER20-1060 S SPP Western Energy Imbalance Tariff rate schedule filing to implement rates in SPP's proposed energy imbalance market in the West region.

- Docket ER20-108 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Montana-Dakota Utilities Co. ("MDU") as Network Customer, and Western Area Power Administration and Basin Electric Power Cooperative as Host Transmission Owners.
- Docket ER20-1095 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Evergy Kansas Central, Inc. ("Evergy") as Network Customer, and Oklahoma Gas and Electric Company ("OGE"), Sunflower Electric Power Corporation ("Sunflower"), and Evergy as Host Transmission Owners.
- Docket ER20-1097 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner.
- Docket ER20-1098 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Kansas Municipal Energy Agency ("KMEA") as Network Customer, and Sunflower Electric Power Corporation ("Sunflower") as Host Transmission Owner.
- Docket ER20-1110 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, East Texas Electric Cooperative, Inc. ("ETEC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner.
- Docket ER20-1111 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, East Texas Electric Cooperative, Inc. ("ETEC") as Network Customer, and American Electric Power Service Corporation ("AEP") as Host Transmission Owner.
- Docket ER20-1283 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Arkansas Electric Cooperative Corporation ("AECC") as Network Customer, and American Electric Power Service Corporation ("AEP") and Oklahoma Gas and Electric Company ("OG&E") as Host Transmission Owners.
- Docket ER20-1285 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Heartland Consumers Power District ("Heartland") as Network Customer, and East River Electric Power Cooperative, Inc., NorthWestern Corporation, and Western Area Power Administration as Host Transmission Owners.
- Docket ER20-1421 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, Basin Electric Power Cooperative ("Basin") as Network Customer, and Basin, Central Power Electric Cooperative, Inc., Corn Belt Power Cooperative, East River Electric Power Cooperative, Inc., Missouri River Energy Services, Mountrail-Williams Electric Cooperative, Northwest Iowa Power Cooperative, Nebraska Public Power District, NorthWestern Corporation, Tri-State Generation and Transmission Association, Inc., and Western Area Power Administration as Host Transmission Owners.
- Docket ER20-1478 Agreement Establishing a Pseudo-Tie Electrical Interconnection Point Where There is an Applicable Joint Operating Agreement with an External Balancing Authority between SPP and Arkansas Electric Cooperative Corporation ("AECC") as the Market Participant.
- Docket ER20-1523 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Chanute, KS ("Chanute") as Network Customer, and Evergy Kansas Central, Inc. ("Evergy") as Host Transmission Owner.
- Docket ER20-1536 Submission of Tariff Revisions to Implement a Generator Replacement Process (Revision Request 393).
- Docket ER20-1554 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Piggott, Arkansas Municipal Light, Water and Sewer ("Piggott") as Network Customer, and Southwestern Power Administration ("SPA") as Host Transmission Owner.

- Docket ER20-1560 Agreement Establishing a Pseudo-Tie Electrical Interconnection Point Where There is an Applicable Joint Operating Agreement with an External Balancing Authority between SPP and East Texas Electric Cooperative, Inc. ("ETEC").
- Docket ER20-1565 Network Integration Transmission Service Agreement ("NITSA") and Network Operating Agreement ("NOA") between SPP, City of Malden Board of Public Works ("Malden") as Network Customer, and Southwestern Power Administration ("SPA") as Host Transmission Owner.
- Docket ER20-1680 Generator Interconnection Agreement ("GIA") between Solaer USA NM ACR, LLC ("Solaer") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner.
- Docket ER20-1687 Submission of Tariff Revisions to Eliminate Attachment Z2 Transmission Revenue Credits Prospectively (RR 401).
- Docket ER20-938 Midcontinent Independent System Operator, Inc.'s ("MISO") Submission of Revisions to the Joint Operating Agreement ("JOA") between SPP and MISO to Enhance and Clarify Affected Systems Coordination Processes.
- Docket ER20-940 Midcontinent Independent System Operator, Inc.'s ("MISO") Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26000 regarding affected system study coordination.
- Docket ER20-941 Submission of Revisions to the Joint Operating Agreement ("JOA") between SPP and the Midcontinent Independent System Operator, Inc. ("MISO") to Enhance and Clarify Affected Systems Coordination Processes.
- Docket ER20-942 Midcontinent Independent System Operator, Inc.'s ("MISO") Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26000 regarding affected system study coordination.
- Docket ER20-943 Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26-000 (Part 1 of 2).
- Docket ER20-944 PJM Interconnection, L.L.C.'s ("PJM") Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26-000.
- Docket ER20-945 Compliance Filing in Response to Order on Complaint Issued in Docket No. EL18-26-000 (Part 2 of 2).
- Docket ER19-1888 Submission of Revisions to Southwestern Public Service Company's ("SPS") Formula Rate to Incorporate Changes Accepted in Docket No. ER19-404 On August 7, 2020, SPP submitted an amended compliance filing.
- ER20-2729 Notice of Cancellation of the Generator Interconnection Agreement ("GIA") between Solaer USA NM ACR, LLC ("Solaer") as Interconnection Customer and Southwestern Public Service Company ("SPS") as Transmission Owner On August 25, 2020, SPP submitted a Notice of Cancellation of the GIA between SPP as Transmission Provider, Solaer USA NM ACR, LLC as Interconnection Customer, and Southwestern Public Service Company as Transmission Owner. SPP Service Agreement No. 3660.

**(j) Classification or Reclassification of Facilities from Transmission to Radial or from Radial to Transmission**

The 2019 Radial Line Study used by SPS to determine the SPS Transmission Formula 2019 True-Up has been used for the SPS Transmission Formula 2021 Projection.