

The Company has listed below any material changes that have taken effect since January 1, 2021.

**(a) FERC Uniform System of Accounts Changes**

There were no material changes.

**(b) FERC Form No. 1 Reporting Requirements Changes**

There were no material changes.

**(c) FERC Ratemaking Orders Applicable to the SPS Formula Rate**

**Exclude Costs Associated with Advanced Grid Intelligence and Security Initiative and other Ministerial Changes (Docket No. ER20-2829)** – On September 4, 2020, SPS filed to revise its transmission formula rate template to exclude costs associated with SPS's Advanced Grid Intelligence and Security ("AGIS") initiative from the determination of SPS's wholesale revenue requirement because these costs are for the benefit of retail customers. In addition, SPS proposes several ministerial changes to Tables in the Template. SPS requested an effective date of January 1, 2020. On December 3, 2020, SPS filed a motion requesting to withdraw the filing and terminate the proceeding. On April 22, 2021, the Commission issued an Order granting SPS' motion to withdraw the filing (175 FERC ¶ 61,060). However, the Commission did find the SPS tariffs under which SPS recovers AGIS costs from its wholesale rates need to be investigated. The Commission instituted a proceeding under FPA section 206 in Docket No. EL21-58 to investigate the justness and reasonableness of the SPS' tariff provisions, established a refund effective date of May 19, 2021, and set the proceeding for hearing and settlement judge procedures. The case is currently pending.

**Implement a One-Time Revenue Credit (Docket No. ER21-2961)** – On Wednesday, September 29, 2021, SPS filed revisions to its transmission formula rate template to create a mechanism for a one-time revenue credit to transmission customers in Zone 11 of the Southwest Power Pool, Inc. ("SPP"). Acceptance of the revisions will enable SPS to satisfy the requirements of a settlement agreement approved by the Public Utility Commission of Texas ("PUCT") related to the transition of a portion of Lubbock Power & Light Company's ("LP&L") load from SPP to the Electric Reliability Council of Texas ("ERCOT"). SPS has requested a waiver from the sixty-day notice period and grant an effective date of October 1, 2021.

**(d) Accounting Policies, Practices or Procedures of SPS**

There were no material changes.

**(e) FERC Related Items**

There were no material changes.

**(f) GAAP-Related Items**

There were no material changes.

**(g) Significant Unusual or Non-Recurring Income or Expense**

None

**(h) Other**

There were no material changes.

**(i) SPP and/or Xcel Energy OATT changes that relate to SPS**

- Docket EL18-9 – On October 10, 2017, Xcel Energy Services filed a formal complaint against SPP's Attachment Z2 process - On August 15, 2019, EDF Renewables, Inc., et al. filed an answer in response to the answer of Xcel Energy Services Inc. to SPP's Motion for Stay of Refund Condition, to Hold Proceedings in Abeyance, and for Initiation of Settlement Procedures filed on June 28, 2019 - On August 30, 2019, SPP filed an answer in response to the various answers filed in response to SPP's Motion for Stay of Refund Condition, to Hold Proceedings in Abeyance, and for Initiation of Settlement Procedures filed on June 28, 2019.
- Docket EL21-58 - On August 23, 2021, Judge deJesus issued an Order Canceling Settlement Conference scheduled for August 24, 2021. On August 31, 2021, Judge deJesus issued a Report of Settlement Judge. The participants have reached a settlement agreement in principle. If a settlement conference is not filed by October 11, 2021, a follow-up settlement conference will be convened in October.
- Docket EL21-77 - On August 6, 2021, SPP filed comments regarding the progress of settlement discussions. On August 6, 2021, Tenaska Clear Creek Wind, LLC filed a Motion to Resume Complaint Proceeding. On August 16, 2021, Tenaska Clear Creek Wind, LLC filed an answer in response to the answer filed by SPP and the comments filed by MidAmerican Energy Company on June 10, 2021. On August 31, 2021, MidAmerican Energy Company filed an answer in response to the answer filed by Tenaska Clear Creek Wind, LLC on August 16, 2021. On August 31, 2021, SPP filed an answer in response to the answer filed by Tenaska Clear Creek Wind, LLC on August 16, 2021.
- Docket EL21-90 - On August 9, 2021, Sunflower Electric Power Corporation filed a Motion to Intervene and Comments. On August 19, 2021, the Aligned Utilities filed a Protest in response to the Complaint. On August 19, 2021, the SPP Market Monitoring Unit filed comments. On August 19, 2021, Upstream Wind Energy LLC filed a Motion to Intervene and Comments in response to the Complaint. On August 19, 2021, SPP filed its answer in response to the Complaint filed on July 16, 2021 by Basin Electric Power Cooperative and North Iowa Municipal Electric Cooperative Association.
- Docket ER18-99 – On October 18, 2017, SPP submitted Tariff Revisions to Incorporate South Central MCN LLC's Formula Rate - On August 30, 2019, SPP submitted an Offer of Settlement and Settlement Agreement to resolve all issues set for hearing in this proceeding - On August 30, 2019, Judge Cintron issued an Order of Chief Judge Suspending Initial Decision Deadline. On August 3, 2021, Judge Cintron issued an Order of Chief Judge Substituting Presiding Judge, Delaying Hearing Commencement Date, and Ordering Status Conference. The hearing will commence on August 9, 2021. A status conference will be held on August 5, 2021. On August 6, 2021, the SPP Indicated Transmission Owners and ARKMO Cities filed a Joint Motion to Strike Select Portions of the Supplemental Rebuttal Testimony of J. Brett Hooton. On August 10, 2021, the parties filed an Unopposed Joint Motion to Amend Procedural Schedule. On August 16, 2021, Judge Cintron issued an Order of Chief Judge Extending Initial Decision Deadline to December 3, 2021. On August 17, 2021, Judge deJesus issued an Order Confirming Bench Ruling and Adopting Revised Procedural Schedule. On August 19, 2021, Judge deJesus issued an Order Confirming Bench Ruling and Adopting Revised Deadline for Initial Briefs. On August 25, 2021, the parties filed the Joint Exhibit List. On August 25, 2021, the parties filed Joint Transcript Corrections. On August 30, 2021, Judge deJesus issued an Order Adopting Transcript Corrections.
- Docket ER20-2040 - On August 13, 2021, the Evergy Companies filed a Motion for Extension of Time to submit responses to the deficiency letter issued on June 28, 2021. On August 19, 2021, FERC issued a Notice of Extension of Time, extending the due date for the response to the

deficiency letter to August 27, 2021. On August 27, 2021, SPP filed the response to the request for additional information issued on June 28, 2021.

- Docket ER20-2041 - On August 13, 2021, the Evergy Companies filed a Motion for Extension of Time to submit responses to the deficiency letter issued on June 28, 2021. On August 19, 2021, FERC issued a Notice of Extension of Time, extending the due date for the response to the deficiency letter to August 27, 2021. On August 27, 2021, SPP filed the response to the request for additional information issued on June 28, 2021.
- Docket ER20-2042 - n August 13, 2021, the Evergy Companies filed a Motion for Extension of Time to submit responses to the deficiency letter issued on June 28, 2021. On August 19, 2021, FERC issued a Notice of Extension of Time, extending the due date for the response to the deficiency letter to August 27, 2021. On August 27, 2021, SPP filed the response to the request for additional information issued on June 28, 2021.
- Docket ER20-2044 - On August 13, 2021, the Evergy Companies filed a Motion for Extension of Time to submit responses to the deficiency letter issued on June 28, 2021. On August 19, 2021, FERC issued a Notice of Extension of Time, extending the due date for the response to the deficiency letter to August 27, 2021. On August 27, 2021, SPP filed the response to the request for additional information issued on June 28, 2021.
- Docket ER20-2239 - On August 5, 2021, Judge Cintron issued an Order of Chief Judge Terminating Settlement Judge Procedures. On August 25, 2021, FERC issued an order approving the Stipulation and Agreement of Settlement. SPP's compliance filing is due on October 12, 2021.
- Docket ER21-1576 Submission of Modified Formula Rate Template for Tri-State Generation and Transmission Association, Inc. On April 16, 2021, Basin Electric Power Cooperative filed a Motion to Intervene. On August 27, 2021, FERC issued an order accepting, subject to a compliance filing, the revisions to Addendum 36 to Attachment H of the Tariff to revise Tri-State Generation and Transmission Association Inc.'s formula rate template which included updates to source data references from U.S. Department of Agriculture's Rural Utilities Service Form 12 to the relevant FERC Form No. 1 data in order to reflect Tri-State is now a FERC-regulated public utility, as well as administrative changes. An effective date of June 1, 2021 was granted. SPP was directed to submit a compliance filing by September 27, 2021 to include the changes proposed by Tri-State in its response to the deficiency letter.
- Docket ER21-1841 - The Commission granted Lookout Solar's requested waiver of the SPP DISIS financial security posting cure period due to inconsistent communications by SPP that resulted in the subsequent withdrawal of their generator interconnection request. This would allow Lookout Solar to post the required financial security retroactively and require SPP to conduct a restudy of the generator interconnection cluster. Rehearing has been requested and is pending Commission decision.
- Docket ER21-2087 - On August 4, 2021, SPP submitted an amended filing.
- Docket ER21-2136 - On August 2, 2021, FERC issued an order accepting SPP's revisions to Attachment S of the Tariff to modify the procedure for calculation of megawatt-mile impacts. An effective date of August 15, 2021 was granted. This order constitutes final agency action.
- Docket ER21-2290 - On August 20, 2021, FERC issued an order accepting SPP's filing of revisions to NorthWestern Corporation's South Dakota formula rate contains in Addendum 27 to Attachment H of the Tariff. An effective date of September 1, 2021 was granted.
- Docket ER21-2323 - On August 17, 2021, FERC issued an order accepting SPP's filing of amendments to the SPP Membership Agreement in order to facilitate the decision of L and O Power Cooperative to join SPP as a Transmission Owner and to place its facilities under the functional control of SPP. L and O Power Cooperative requested amendments to its Membership Agreement to accommodate its membership and participation in SPP. An effective date of June 28, 2021 was granted. This order constitutes final agency action.

- Docket ER21-2581 - On August 2, 2021, City of Independence, Missouri filed a Motion to Intervene. On August 3, 2021, Missouri Public Service Commission filed a Notice of Intervention. On August 4, 2021, Kansas Electric Power Cooperative, Inc. filed a Motion to Intervene.
- Docket ER21-2600 - On August 3, 2021, SPP, on behalf of L and O Power Cooperative, submitted revisions to the Tariff to implement a formula rate template and implementation protocols for transmission service using the facilities of L&O when L&O transfers functional control of its transmission facilities to SPP on October 1, 2021. On August 17, 2021, Mor-Gran-Sou Electric Cooperative, Inc. filed a Motion to Intervene. On August 18, 2021, L and O Power Cooperative filed a Motion to Intervene. On August 20, 2021, North Iowa Municipal Electric Cooperative Association filed a Motion to Intervene and Limited Comments. On August 24, 2021, Western Area Power Administration filed a Motion to Intervene and Comments in support. On August 24, 2021, Basin Electric Power Cooperative filed a Motion to Intervene and Comments in support. On August 24, 2021, Central Power Electric Cooperative, Inc. filed a Motion to Intervene. On August 25, 2021, Missouri River Energy Services filed a Motion to Intervene Out-of-Time and Comments. On August 27, 2021, Mountrail-Williams Electric Cooperative filed a Motion to Intervene Out-of-Time.
- Docket ER21-2606 - On August 4, 2021, SPP submitted an executed Transmission Interconnection Agreement between Western Area Power Administration, Western Minnesota Municipal Power Agency, and Missouri River Energy Services, with SPP as signatory. SPP Service Agreement No. 3839. An effective date of August 3, 2021 was requested. On August 19, 2021, Western Area Power Administration filed a Motion to Intervene. On August 25, 2021, Missouri River Energy Services filed a Motion to Intervene and Comments in support.
- Docket ER21-2794 - On August 31, 2021, SPP submitted two unexecuted service agreements for Firm Point-To-Point Transmission Service between SPP as Transmission Provider and The Energy Authority, Inc. as Transmission Customer. SPP Service Agreement Nos. 3818 and 3819. An effective date of August 1, 2021 was requested.
- Docket ES21-66 - On August 24, 2021, SPP filed an application requesting an order authorizing of the issuance of up to \$28,000,000 in senior unsecured term notes
- Docket 08-136-U - On August 9, 2021, SPP filed the Spring Quarter 2021 State of the Market Report pursuant to Order Nos. 2 and 25.
- Docket 21-036-U - On August 6, 2021, SPP filed its Winter Storm Assessment Report in response to Order No. 8.

**(j) Classification or Reclassification of Facilities from Transmission to Radial or from Radial to Transmission**

SPS has revised the 2020 Radial Line Study. The only change relates to a new wholesale customer radial line that was assigned to Central Valley when the Z-56 Artesia Tap Structure to the Central Valley Artesia Interchange became radial in July of 2020. Upon further investigation, SPS has determined that during certain times of the year, the normal open switch will be closed, and the normal closed switch will be opened by SPS Operations to alleviate transformer loading in the Artesia, NM area. This switching operation arrangement causes the CVEC-Artesia substation to be fed radially, but for a temporary period. Because this is a temporary situation, CVEC will not be assigned the Z-56 Artesia Tap Structure to Central Valley line segment.

The revised 2020 Radial Line Study was used by SPS to determine the SPS Transmission Formula 2020 True-Up and used for the SPS Transmission Formula 2022 Projection.